

UNIVERSITY OF MAINE SYSTEM
Board of Trustees Meeting

at the University of Maine System
253 Estabrooke Hall, Orono

May 16, 2017

Academic and Student Affairs Committee Meeting

Present: Committee Members: Gregory Johnson, Chair (at USM); Sam Collins (at UMPI), Jason Coombs (at UMA), James Erwin (at USM), and Karl Turner (by phone). **Chancellor:** James Page. **Presidents:** James Conneely (at UMA), Ray Rice, John Short (at UMFK) and Glenn Cummings (at USM). **Faculty Representatives:** Ray Albert (at USM), Patti Miles, Elizabeth Turesky (at USM), Lisa Leduc (at UMPI) Uriah Anderson (at UMM), and Cathleen McAnney (by phone). **Student Representatives:** Jay Knowlton (at USM), Keith Arvanitis (at UMA) and Isaac Michaud (at UMPI). **System Staff:** Tracy Bigney, Ryan Low (at UMA), Robert Neely, Chip Gavin (at USM), Dan Demeritt (at UMA), James Thelen (at USM), Rosa Redonnett, Donna Seppy (at UMA), Vendean Vafiades (at USM) and Rebecca Wyke (at UMA). **Others Present:** Jeannine Uzzi (by phone), Jeff Hecker, Steve Gammon, Sarah Holmes (at USM), Robert Zuercher (at UMA), Nina Lavoie (by phone), Elizabeth Lavoie, Sheri Fraser (at UMA), Wayne Maines (at USM), and Kay Kimball (at UMM).

Committee Members Absent: Lisa Eames and Michelle Hood.

Trustee Johnson, Chair of the Academic & Student Affairs Committee, called the meeting to order.

Board Policy 402 and UMS Implementation: Resolution Discussion. Ms. Rosa Redonnett, Chief Student Affairs Officer, explained that the Board Policy 402, Sex Discrimination, Sexual Harassment, Sexual Assault, Relationship Violence, Stalking and Retaliation, was approved at the May 2015 Board of Trustees meeting. At the same time, the Student Conduct Code and several other related policies were updated.

At the March 2017 meeting of the Academic and Student Affairs Committee, a full briefing was provided to the Committee. Since our last report (June 2016), much activity has occurred, including launching the third year of our online training, the delivery of comprehensive training for all Title IX and Student Conduct staff, focused training for specific campuses (including Student Conduct Review Boards), and the implementation of a System-wide case management system for our student conduct cases (and associated behavioral intervention tracking) and for our internal investigations specific to HR which is leading to a better alignment of practices across our campuses and to the potential for sharing cases as necessary. In addition, the Department of Learning and Organizational Development, led by Megan Clough, is developing a comprehensive approach to employee training and tracking which will further the important training already conducted specific to Board Policy 402; an overview of this was presented to the Academic and Student Affairs Committee at its January 2017 meeting.

At the May 2017 meeting of the Committee, a suggested resolution was proposed to clarify and confirm our commitment to ensuring that UMS employees and students receive appropriate training and educational programs related to this important issue. Presidents and staff who work with these situations agree that this is the best and most logical approach for the UMS at this time, and this approach is one which, when training is required, is the most common approach at campuses across the country. Language already contained within the policy permits this clarification so there is no need to change the language within the policy.

Mr. James Thelen, General Counsel and Chief of Staff, provided an update on the legal prospective of moving forward with the resolution.

On a motion by Trustee Erwin, which was seconded by Trustee Collins, the Academic and Student Affairs Committee agreed to forward this item to the Consent Agenda at the May 21-22, 2017 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees and the campuses of the University of Maine System reaffirm their commitment to ensuring that all employees and students participate in appropriate training and educational programs connected to Board Policy 402. As mandatory reporters, all employees are required to participate in this training and/or other equivalent educational program. Participating in appropriate training and/or other educational programming is required of all newly matriculated students as well as any other key populations as indicated by each campus and is strongly recommended for all other students.

Amendment to Appointment End Date for the University of Maine Board of Agriculture. Dr. Robert Neely, Vice Chancellor for Academic Affairs, explained that the Board approved the appointment of Dr. Ellen Mallory, Associate Professor of Sustainable Agriculture and Extension Sustainable Agriculture Specialist, to the University of Maine Board of Agriculture at the January 2017 Board of Trustees meeting.

Dr. Lois Berg Stack, Professor of Sustainable Agriculture/Ornamental Horticulture Specialist, was appointed to the Board of Agriculture for a five-year term beginning in 2015. Dr. Stack retired as of December 31, 2016.

This action is being presented to the Academic & Student Affairs Committee to amend the resolution that indicated Dr. Mallory's five-year term to the Board of Agriculture will end on December 31 2021. Legislation dictates that Dr. Mallory will serve the balance of Dr. Stack's term ending March 19, 2018.

On a motion by Trustee Collins, which was seconded by Trustee Erwin, the Academic and Student Affairs Committee agreed to forward this item to the Consent Agenda at the May 21-22, 2017 Board of Trustees meeting for approval of the following resolution, as amended:

That the Board of Trustees approves Dr. Ellen Mallory as the research faculty appointment to the UMaine Board of Agriculture effective January 1, 2017. Dr. Ellen Mallory will complete the term of Dr. Lois Berg Stack, which will end on March 19, 2018.

Update: Active Shooter Training. Mr. Chip Gavin, UMS Chief General Services Officer, and Mr. Wayne Maines, Executive Director of Safety, Health Services, Transportation and Security, provided an update on the roll out of the Active Shooter Training. In keeping with our University of Maine System commitment to maintain a safe and healthy work environment for all members of our common higher education community, access to a special emergency preparedness resource related to active shooter training was made available to employees and students beginning in the fall semester.

All employees and students were strongly encouraged to view the Safety and Environmental Management Office's Active Shooter Training video, *Shots Fired on Campus*. This important resource is designed to help employees and students prepare and respond to active shooter incidents and to share critical information and guidance on how to both recognize and survive an active shooter situation.

2600 individuals completed the training during this academic year. Just under half were students and just over half were employees.

The video is available at: <https://umaine.edu/police/active-shooter/>

Reorganization: University of Maine at Presque Isle. Vice Chancellor Neely explained the importance of the reorganization at the University of Maine at Presque Isle in order to support the campus goals and the collaborative efforts with other campuses.

UMPI Interim President Raymond Rice explained UMPI has completed a semester-long process of analyzing, collecting input and feedback, and developing recommendations for the University's organizational structure. In the proposed plan, the current format at UMPI of three "colleges," the College of Arts and Sciences, the College of Professional Programs, and the College of Education (with one chair currently assigned to both Professional Programs and Education), would formally be reduced to two colleges (Arts and Sciences; Professional Programs and Education) with an Academic Dean serving each. The new structure provides for greater organizational coherence and the minimization of potential unit silos, supports cross-functional collaborations, maximizes the effectiveness of continuous systems improvement initiatives, potentially reduces administrative costs, and reduces the number of direct reports to the President and Provost.

The proposed structure also allows for potential expansion of collaborative positions and shared programming with other UMS institutions (e.g., UMFK) by piloting a newly created position of the Executive Vice President for Collaboration and Strategic Alliances, reporting directly to both the UMPI and UMFK Presidents. This position ensures effective coordination of future and ongoing collaborative engagements in academic as well as administrative areas while ensuring institutional integrity and authority. This position is being piloted through July 2018 and will be evaluated in Spring 2018 for continuation.

Chancellor Page reinforced the need for the reorganization and expressed appreciation for the efforts in developing the structure.

On a motion by Trustee Erwin, which was seconded by Trustee Turner, the Academic and Student Affairs Committee agreed to forward this item to the Consent Agenda at the May 21-22, 2017 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees approves the proposed reorganization of the University of Maine at Presque Isle resulting in a structure of two academic colleges (Arts and Sciences; Professional Studies and Education), with an Academic Dean serving each college.

Vice Chancellor for Academic Affairs Update: New Initiatives Related to Academic Transformation. Vice Chancellor Neely indicated that in collaboration with other UMS staff, campus representatives, and/or outside groups, several new initiatives are moving forward:

- a. Co-sponsorship of the Maine Nursing Summit – the organizing committee for this initiative, composed of representatives from UMS, MCCS, Maine government, and the health care industry, has outlined a Summit agenda leading to action-oriented targets and strategies to deal with the Maine nursing shortage.

- b. Administrative Program Integration teams – progress will be detailed for the Financial Program Administration team, Student Issues Program Integration team, and the Library Resources team.
- c. Academic Programs – as a result of an April 13, 2017 retreat with the UMS Chief Academic Officers, meaningful progress has been made in the areas of:
 - a. Annual Examination: overview of a proposed, annual methodology using three simple metrics to ensure appropriate, ongoing attention to academic programs;
 - b. Review of Approval Process: development of a pathway for expedited review of programs that meet specific criteria related to Academic Transformation is underway. Details requiring Board of Trustee action in the coming months will be described.

Update: Unified Online/Distance Education. Vice Chancellor Neely provided an update in several key areas related to distance education across the UMS. He addressed the following areas:

- 1. Unified Online report and resultant work
- 2. Program integration and possibilities for new online programming
- 3. Online programs launched over the past two years
- 4. Trends in UMS online offerings
 - a. ten-year longitudinal patterns in SCH & % SCH production by modality by campus
 - b. Online programs launched over the past two years
 - c. % of students enrolled in UMS programs from Maine
 - d. other
- 5. Future considerations regarding online offerings

Update: “One University” and NEASC. Chancellor Page explained that the UMS is involved in ongoing discussions with the New England Association of Schools and Colleges (NEASC), including a UMS team visit to NEASC offices in early April, as well as other face-to-face and phone conversations.

Additionally, a UMS team is expected to provide a report to the Commission on Institutions of Higher Education (CIHE) on June 9, 2017. Chancellor Page outlined the outcome of these communications with NEASC and CIHE regarding the One University initiative, UMS shared services, and expectations regarding multi-campus academic programs. Two areas that require additional focus and definition are as follows:

Unified Financial Management Structure – The Chief Business Officers (CBO) carry a double set of responsibilities. One set of responsibilities is to the campus and the President for the financial responsibilities of the campus. At the same time, NEASC recognizes the responsibilities the finance officers have to the System. Our current model indicates a solid line from CBO to the Chief Financial Officer and a dotted line to the President. In order to resolve the concerns from NEASC, the UMS will propose a new matrix structure for a double solid line. One solid line to the Chief Financial Officer and one to the campus President divided, functionally by areas of responsibility using the matrix structure.

Academic Transformation - Multi-Campus Programs – NEASC’s concern is around the collaborative multi-campus programs and whether there is some risk if the UMS develops a large number of these programs, that the Vice Chancellor Academic Affairs (VCAA) would become the defacto provost. That

is not the goal for the System and the VCAA and Chief Academic Officers are working on an appropriate governance model that can be used for the multi-campus programs.

Faculty Representatives: Duties and Obligations. The Faculty Representatives to the Board of Trustees presented the final version of the recently developed and approved document regarding the Duties and Obligations for Faculty Representatives to the Board of Trustees. This document was developed by the Faculty Representatives to the Board of Trustees, working with Chancellor Page. The document has been accepted by the Faculty Senate or Assembly at each of the seven universities.

On a motion by Trustee Erwin, which was seconded by Trustee Turner, the Academic and Student Affairs Committee agreed to forward this item to the Consent Agenda at the May 21-22, 2017 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees endorses the Duties and Obligations for Faculty Representatives to the University of Maine System Board of Trustees.

Faculty Representatives Discussion Topic.

Professor Elizabeth Turesky, USM Faculty Representative to the Board of Trustees, commented that the Women in Gender Studies program is interested in collaborative efforts across the System.

Student Representatives Discussion Topic.

Mr. Issac Michaud, UMF Student Representative to the Board of Trustees, thanked the System for allowing Mr. Samuel Borer, UM Student Representative to the Board of Trustees, to testify on the campus carry bill.

Trustee Johnson commented that the Student Government Symposium held at UMaine was well organized and facilitated. He thanked the Student Representatives for including him in the Symposium.

Executive Session

On a motion by Trustee Coombs, which was seconded by Trustee Turner, the Academic & Student Affairs Committee went into Executive Session under the provisions of:

- 1 MRSA Section 405 6-A to discuss the evaluation of personnel and the consideration and discussion of appointments, employment and duties

On a motion by Trustee Coombs which was seconded by Trustee Turner, the Academic & Student Affairs Committee concluded the Executive Session.

Tenure Request: Vice President for Research and Dean of the Graduate School. On a motion by Trustee Turner, which was seconded by Trustee Coombs, the Academic and Student Affairs Committee agreed to forward this item to the May 21-22, 2017 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees approves tenure at the rank of professor to the candidate for Vice President for Research and Dean of the Graduate School at the University of Maine, with tenure to be effective if/when the administrative position ends and the individual assumes a full-time faculty position in the Department of Electrical and Computer Engineering, in accordance with Board policy.

Tenure Request: Stephen E. King Chair in Literature. On a motion by Trustee Turner, which was seconded by Trustee Coombs, the Academic and Student Affairs Committee agreed to forward this item to the May 21-22, 2017 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees approves tenure at the rank of professor to the candidate for the Stephen E. King Chair in Literature at the University of Maine, with tenure to be effective on the date of initial hire, August 28, 2017.

Ellen Doughty for
Tracy B. Bigney, Clerk of the Board